

Customer No. 27123

Docket No. 1232-5261

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): MATSUNAGA et al.

Group Art Unit: 2872

Serial No.: 10/767,490

Examiner: Lavarias, Arnel C.

Filed: January 28, 2004

Confirmation No. 5062

For: OPTICAL SYSTEM, DISPLAY OPTICAL SYSTEM  
AND IMAGE-TAKING OPTICAL SYSTEM

**CERTIFICATE OF MAILING (37 C.F.R. §1.8(a))**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached:

1. Information Disclosure Statement (2 pp.);
2. Form PTO-1449 (1 p.)
3. copies of nine (9) references cited therein;
4. Return receipt postcard

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: November 8, 2004

By: Pamela Stone

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**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R.

§§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

1. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:

For Japanese Patent No. JP 2705880 A, listed as Item C, corresponding US Patent No. 5,436,763, and an English Abstract, are provided. For Japanese Laid-Open Patent Application Nos. JP 8-179238A, JP 8-50256 A and JP 7-333551 A, listed as Items D, F and G, respectively, corresponding US Patent Application Publication No. 2001/0009478 A1 and English Abstracts are provided. For Japanese Laid-Open Patent Application Nos. JP 2001-142025 A, JP 2001-4955 A and JP 8-160340, listed as Items A, B and E, respectively, English Abstracts are provided.

2. ☒ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.

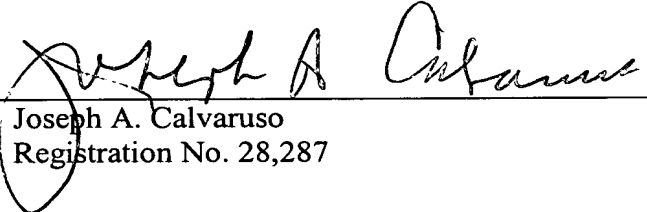
JP 2001-142025 A, JP 2001-4955 A, JP 2705880 B2, JP 8-179238 A, JP 8-160340 A, JP 8-50256 A, JP 7-333551 A.

3. ☐ Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.
4. ☒ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
- ☐ 37 C.F.R. §1.97(b)(1), within three months of the filing date of a national application other than a CPA; or
  - ☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application; or
  - ☒ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits; or
  - ☐ 37 C.F.R. §1.97(b)(4), before the mailing date of a first office action after the filing of an RCE under §1.114.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500., Order No. 1232-5261. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: November 8, 2004

By:

  
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<b>FORM PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION</b>	Attorney Docket: 1232-5261	Serial No.: 10/767,490
	Applicant: MATSUNAGA et al.	
	Filing Date January 28, 2004	Group Art Unit: 2872

**U.S. PATENT DOCUMENTS**

Examiner Initial	Item	Patent or Patent Publication Number	Publication Date	Name	Class	Sub-Class	Filing Date
	1	2001/0009478 A1	07/26/2001	Yamazaki et al.			01/25/2001
	2	5,436,763	07/25/1995	Chen et al.			04/05/1994

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Item	Patent Number	Publication Date	Country	Class	Sub-Class	Translation
	A	2001-142025 A	05/25/2001	JP (w/ English Abstract)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	B	2001-4955 A	01/12/2001	JP (w/ English Abstract)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	C	2705880 B2	10/09/1997	JP (w/ English Abstract)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	D	8-179238 A	07/12/1996	JP (w/ English Abstract)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	E	8-160340 A	06/21/1996	JP (w/ English Abstract)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	F	8-50256 A	02/20/1996	JP (w/ English Abstract)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	G	7-333551 A	12/22/1995	JP (w/ English Abstract)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

Examiner Initial	Item	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers,

Examiner	Date Considered
<b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.	